# On the DAG Decomposition 

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#### Abstract

In this paper, we propose an efficient algorithm to decompose a directed acyclic graph $G$ into a minimized set of node-disjoint chains, which cover all the nodes of $G$. For any two nodes $u$ and $v$ on a chain, if $u$ is above $v$ then there is a path from $u$ to $v$ in $G$. The best algorithm for this problem up to now needs $\mathrm{O}\left(n^{3}\right)$ time, where $n$ is the number of the nodes of $G$. Our algorithm, however, needs only $\mathrm{O}\left(\kappa \cdot n^{2}\right)$ time, where $\kappa$ is $G$ 's width, defined to be the size of a largest node subset $U$ of $G$ such that for every pair of nodes $x, y \in U$, there does not exist a path from $x$ to $y$ or from $y$ to $x$. More importantly, by the existing algorithm, $\mathrm{O}\left(n^{2}\right)$ extra space (besides the space for $G$ itself) is required to maintain the transitive closure of $G$ to do the task while ours needs only $\mathrm{O}(\kappa \cdot n)$ extra space.


Keywords: reachability queries; directed graphs; transitive closure; graph decomposition.

## 1 Introduction

Let $G$ be a directed acyclic graph (a $D A G$ for short). A chain cover of $G$ is a set $C$ of node-disjoint chains such that it covers all the nodes of $G$, and for any two nodes $u$ and $v$ on a chain $p \in C$, if $u$ is above $v$ then there is a path from $u$ to $v$ in $G$. In this paper, we discuss an efficient algorithm to find a minimized $C$ for $G$.

As an example, consider the DAG shown in Fig. 1(a). We can decompose it into a set of two chains, as shown in Fig. 1(b), which covers all the nodes of G. Fig. 1(c) shows another possible minimized decomposition.

With the advent of the web technology, the efficient decomposition of a DAG $G$ into a minimum set of chains becomes very important; especially, for the applications involving massive graphs such as social

[^0]networks, for which we may quite often ask whether a node $v$ is reachable from another node $u$ through a path in $G$.

(a)

(b)


Fig. 1. Illustration for DAG decomposition
A naive method to answer such a query is to precompute the reachability between every pair of nodes in $G$ $(V, E)$ - in other words, to compute the transitive closure of $G$, which is also a directed graph $G^{*}\left(V, E^{*}\right)$ with $(v, u) \in E^{*}$ if and only if there is a path from $v$ to $u$ in $G$. (See Fig. 2(a) for illustration, in which we show the transitive closure of the graph shown in Fig. 1(a).).


$a_{1}$
$a_{2}$
$a_{3}$
$a_{4}$
$a_{5}$
$a_{5}$$\left[\begin{array}{cc}1 & 2 \\ 1 & 2 \\ 2 & 1 \\ 2 & 2 \\ 2 & 2 \\ 3 & \infty \\ \infty & 3\end{array}\right]$
(c)

Fig. 2. Illustration for transitive closure and index sequences
As it is well known, the transitive closure of $G$ can be stored as a boolean matrix $\boldsymbol{M}$ such that $\boldsymbol{M}[i, j]=1$ if there is path from $i$ to $j$ [21]; otherwise, $\boldsymbol{M}[i, j]=0[26]$. Then, a reachability query can be answered in a constant time. However, this requires $\mathrm{O}\left(n^{2}\right)$ space for storage, which makes it impractical for very large graphs, where $n=|V|$. Another method is to compute the shortest path from $u$ to $v$ over such a large graph on demand. Therefore, it needs only $\mathrm{O}(m)$ space, but with high query processing cost $-\mathrm{O}(m)$ time in the worst case, where $m=|E|$. However, if we are able to decompose a DAG into a minimum set of chains, we can effectively compress a transitive closure without increasing much query time, as described below.

Let $G$ be a directed graph. If it is cyclic (i.e., it contains cycles), we can first find all the strongly connected components (SCC) in linear time [25] and then collapse each of them into a representative node. Clearly, all of the nodes in an SCC are equivalent to its representative as far as reachability is concerned since each pair of nodes in an SCC are reachable from each other. In this way, we transform $G$ to a DAG. Next, we decompose the DAG into a minimum set $C$ of node-disjoint chains. (Recall that if a node $u$ appears above another node $v$ on a chain, there is a path from $u$ to $v$.) Denote $|C|=\kappa$. We will then
(1) Number each chain and number each node on a chain; and
(2) Use a pair $(i, j)$ as an index for the $j$ th node on the $i$ th chain.

Besides, each node $u$ on a chain will be associated with an index sequence of the form: $\left(r, j_{r}\right) \ldots\left(i, j_{i}\right) \ldots(k$, $\left.j_{k}\right)(1 \leq r \leq i \leq k \leq \kappa)$ such that any node $v$ with index $(x, y)$ is a descendant of $u$ if and only if there exists $(x$, $j_{x}$ ) in the sequence with $y \geq j_{x}$. (See Fig. 2(b) for illustration.) Such index sequences can be created as follows.

First of all, we notice that we can associate each leaf node with an index sequence, which contains only one index, i.e., the index assigned to it. Clearly, such an index sequence is trivially sorted and its length is $1 \leq \kappa$. Let $v$ be a non-leaf node with children $v_{1}, \ldots, v_{l}$ each associated with an index sequence $L_{i}(1 \leq i \leq l)$. Assume that $\left|L_{i}\right| \leq \kappa(1 \leq i \leq l)$ and the indexes in each $L_{i}$ are sorted according to the first element in each index. We
will create an index sequence $L$ for $v$, which initially contains only the index assigned to it. Then, we will merge all $L_{i}$ 's into $L$ one by one. To merge an $L_{i}$ into $L$, we will scan both $L$ and $L_{i}$ from left to right. Let ( $a_{1}$, $b_{1}$ ) (from $L$ ) and $\left(a_{2}, b_{2}\right)$ (from $L_{i}$ ) be the index pairs currently encountered. We will perform the following checking's:

- If $a_{2}>a_{1}$, we go to the index next to $\left(a_{1}, b_{1}\right)$ (in $L$ ) and compare it with $\left(a_{2}, b_{2}\right)$ in a next step.
- If $a_{1}>a_{2}$, insert ( $a_{2}, b_{2}$ ) just before $\left(a_{1}, b_{1}\right)$ (in $L$ ). Go to the index next to $\left(a_{2}, b_{2}\right)$ (in $L_{i}$ ) and compare it with $\left(a_{1}, b_{1}\right)$ in a next step.
- If $a_{1}=a_{2}$, we will compare $b_{1}$ and $b_{2}$. If $b_{1}<b_{2}$, nothing will be done. If $b_{2}<b_{1}$, replace $b_{1}$ (in $\left(a_{1}, b_{1}\right)$ ) with $b_{2}$. In both cases, we will go to the indexes next to $\left(a_{1}, b_{1}\right)$ (in $L$ ) and $\left(a_{2}, b_{2}\right)$ (in $L_{i}$ ), respectively.
- We will repeat the above three steps until either $L$ or $L_{\mathrm{i}}$ is exhausted. If when $L$ is exhausted $L_{i}$ still has some remaining elements, append them at the end of $L$.

Obviously, after all $L_{i}$ 's have been merged into $L$, the length of $L$ is still bounded by the number $\kappa$. Denote by $d_{v}$ the outdegree of $v$. The time spent on this process is then bounded by $\mathrm{O}\left(\sum_{v} d_{v} \cdot \kappa\right)=\mathrm{O}(\kappa \cdot m)$, but the space overhead is only $\mathrm{O}(\kappa \cdot n)$. The query time remains $\mathrm{O}(1)$ if we store the index sequences as a matrix $\boldsymbol{M}_{G}$, as shown in Fig. 2(c), in which each entry $\boldsymbol{M}_{G}(v, j)$ is the $j$ th element in the index sequence associated with node $v$. So, a node $u$ with index $(i, j)$ is a descendant of node $v$ if and only if $\boldsymbol{M}_{G}(v, i) \leq j$. In practice, $\kappa$ is in general much smaller than $n$. In this sense, $G^{*}$ is effectively compressed based on a minimized decomposition of $G$.

The problem to decompose a DAG is also heavily related to another theoretical problem [7], [8], [11], [16], [19], [20]: the decomposition of partially ordered sets (or posets for short) $\boldsymbol{S}=(S, \geq)$ into a minimum set of chains, where $S$ is a set of elements and $\succeq$ is a reflexive, transitive, and antisymmetric relation over the elements. We can represent any poset $S$ as a DAG $G$, where each node stands for an element in $S$ and each arc $u \rightarrow v$ for a relation. Obviously, all the transitive relations in $S$ can be represented by the transitive closure $G^{*}$ of $G$. According to Dilworth [8], the size of a minimum decomposition equals the size of a maximum antichain $U$, which is a subset of elements such that for each two elements $a, c \in U, a \ngtr c$ and $c \ngtr$ $a$. Furthermore, by using the Fulkerson's method [12], a minimum set of chains can be found in $\mathrm{O}\left(n^{3}\right)$ time as follows:
i) Construct the transitive closure $G^{*}$ of $G$ representing $S=(S, \geq)$.
ii) Let $S=\left\{a_{1}, a_{2}, \ldots, a_{n}\right\}$. Construct a bipartite graph $G_{S}$ with bipartite $\left(V_{1}, V_{2}\right)$, where $V_{1}=\left\{x_{1}\right.$, $\left.x_{2}, \ldots, x_{n}\right\}, V_{2}=\left\{y_{1}, y_{2}, \ldots, y_{n}\right\}$ and an edge joins $x_{i} \in V_{1}$ to $y_{j} \in V_{2}$ whenever $a_{i} \rightarrow a_{j} \in G^{*}$.
iii) Find a maximal matching $M$ of $G_{S}$. Then, for any two edges $e_{1}, e_{2} \in M$, if $e_{1}=\left(x_{i}, y_{k}\right)$ and $e_{2}=$ $\left(x_{k}, y_{j}\right.$ ), connect $e_{1}$ to $e_{2}$ (by identifying $y_{k}$ with $x_{k}$.)

According to Fulkerson [12], the number of chains constructed as described above is $n-|M|$. It must be minimum since in terms of König's theorem ([2], page 180), the size of a maximum antichain $U$ of $S$ is also $n$ $|M|$ and we are not able to place any two elements in $U$ on a same chain. Thus, we have $\kappa=|U|$, referred to as the width of $G$ (or $\boldsymbol{S}$ ).

See Fig. 3 for illustration.


Fig. 3. Illustration for poset decomposition
The dominant cost of the above process is obviously the time and space for constructing $G^{*}$. They are bounded by $\mathrm{O}\left(n^{3}\right)$ and $\mathrm{O}\left(n^{2}\right)$, respectively [26]. However, using the algorithm proposed by Hopcroft and Karp [13], $M$ can be found in $\mathrm{O}(e \cdot \sqrt{n})$ time, where $e$ is the number of the $\operatorname{arcs}$ in $G^{*}$, bounded by $\mathrm{O}\left(n^{2}\right)$.

In [14], Jagadish discussed an algorithm for finding a minimum set of node-disjoint paths that cover a directed acyclic graph $G$ by transforming the problem to a min network flow [9], [15], [18], [22]. Its time complexity is bounded by $\mathrm{O}(n \cdot m)$. However, a chain is in general not a path. For any pair of nodes $u$ and $v$ on a chain, we only require that if $u$ appears above $v$, there is a path from $u$ to $v$ [23]. So, the number of paths found by the method discussed in [14] is generally much larger than the minimal number of node-disjoint chains. However, if we apply the Jagadish's method to $G^{*}$, we can get a minimized set of chains of $G$. But again, $\mathrm{O}\left(n^{3}\right)$ time and $\mathrm{O}\left(n^{2}\right)$ space are required to construct $G^{*}$.

The method discussed in [3] is also to decompose a DAG into node-disjoint chains. It runs in $\mathrm{O}\left(n^{2.5}\right)$ time. However, the decomposition found is not minimum. Our earlier algorithm [4] works for the same purpose. Its time complexity is bounded by $\mathrm{O}\left(k^{1.5} n\right)$, where $k$ is the number of the chains, into which the DAG is decomposed. But in some cases it fails to find a minimum set of chains since when generating chains, only part of reachability information is considered. This problem is removed by [5] and [6] both with the same time complexity $\mathrm{O}\left(\kappa \cdot n^{2}\right)$. However, in the method discussed in [5] each node is associated with a large data structure and requires $\mathrm{O}\left(\kappa \cdot n^{2}\right)$ space in the worst case. By [6], the generated chains may contain some newly created nodes, but how to remove such nodes are not discussed at all.

Different from the above strategies, the algorithm discussed in [10] is to find a maximum $k$-chain in a planar point set $M \subseteq N \times N$, where $N=\{0,1, \ldots, n-1\}$ and is defined by establishing $(i, j)<\left(i^{\prime}, j^{\prime}\right)$ if and only if $i^{\prime}>$ $i$ and $j^{\prime}>j$. So $M$ is a special kind of posets. A $k$-chain is a subset of $M$ that can be covered by $k$ chains. The time complexity of this algorithm is bounded by $\mathrm{O}\left(\left(n^{2} / k\right) / \log n\right)$. The algorithms discussed in [17] and [24] are to find a maximum 2-chain and 1-chain in $M$, respectively. [17] needs $\Theta(n \cdot \log n)$ time while [24] needs only $\mathrm{O}(p \cdot n)$ time, where $p$ is the length of the longest chain.

In this paper, we propose an efficient algorithm to find a minimum set of chains for $G$. It runs in $\mathrm{O}\left(\kappa \cdot n^{2}\right)$ time and in $\mathrm{O}(\kappa \cdot n)$ space while the best algorithm for this problem needs $\mathrm{O}\left(n^{3}\right)$ time and in $\mathrm{O}\left(n^{2}\right)$ space.

The remainder of the paper is organized as follows. In Section 2, we discuss an algorithm to stratify a DAG into different levels and review some concepts related to bipartite graphs, on which our method is based. Section 3 is devoted to the description of our algorithm to decompose a DAG into chains, as well as the analysis of its computational complexities. In Section 4, we prove the correctness of the algorithm. Finally, a short conclusion is set forth in Section 5.

## 2 Graph Stratification and Bipartite Graphs

Our method is based on a DAG stratification strategy and an algorithm for finding a maximal matching in a bipartite graph. Therefore, the relevant concepts and techniques should be first reviewed and discussed.

### 2.1 Stratification of DAGs

We first discuss the DAG stratification.
Definition 1 Let $G(V, E)$ be a DAG. We decompose $V$ into subsets $V_{0}, V_{1}, \ldots, V_{h}$ such that $V=V_{0} \cup V_{1} \cup \ldots$ $\cup V_{h}$ and each node in $V_{i}$ has its children appearing only in $V_{i-1}, \ldots, V_{0}(i=1, \ldots, h)$, where $h$ is the height of $G$, i.e., the length of the longest path in $G$.

For each node $v$ in $V_{i}$, we say, its level is $i$, denoted $\operatorname{level}(v)=i$. We also use $C_{j}(v)(j<i)$ to represent a set of links which start from $v$ to all those $v$ 's children, which appear in $V_{j}$. Therefore, for each $v$ in $V_{i}$, there exist $i_{1}, \ldots, i_{k}\left(i_{l}<i, l=1, \ldots, k\right)$ such that the set of its children equals $C_{i_{1}}(v) \cup \ldots \cup C_{i_{k}}(v)$. Let $V_{i}=\left\{v_{1}, v_{2}, \ldots, v_{l}\right\}$. We use $\boldsymbol{C}_{j}^{i}(j<i)$ to represent $C_{j}\left(v_{1}\right) \cup \ldots \cup C_{j}\left(v_{l}\right)$.

Such a DAG decomposition can be done in $\mathrm{O}(m)$ time by using the following algorithm, in which we use $G_{1} \backslash G_{2}$ to stand for a graph obtained by deleting the arcs of $G_{2}$ from $G_{1}$; and $G_{1} \cup G_{2}$ for a graph obtained by adding the arcs of $G_{1}$ and $G_{2}$ together. In addition, $d^{( }(v)$ and $d^{+}(v)$ represent $v$ 's indegree and $v$ 's outdegree, respectively.

## Algorithm graph-stratification( $G$ ) <br> Begin

1. $\quad V_{0}:=$ all the nodes with no outgoing arcs; $i:=0$;
2. $\quad W:=$ all the nodes that have at least one child in $V_{0}$;
3. while $W \neq \varnothing$ do
4. $\quad$ for each node $v$ in $W$ do
5. $\left\{\right.$ let $v_{1}, \ldots, v_{k}$ be $v$ 's children appearing in $V_{i}$;
6. $\quad C_{i}(v):=\left\{v_{1}, \ldots, v_{k}\right\} ;\left(*\right.$ Here, for simplicity, we use $v_{j}$ to represent a link from $v$ to $\left.v_{j} . *\right)$
7. if $d^{+}(v)>k$ then remove $v$ from $W$;
8. $\left.G:=G \backslash v \rightarrow v_{1}, \ldots, v \rightarrow v_{k}\right\}$;
9. $d^{+}(v):=d^{+}(v)-k$;
10. \}
11. $V_{i+1}:=W ; i:=i+1$;
12. $W:=$ all the nodes that have at least one child in $V_{i}$;
13. \}
end
In the above algorithm, we first determine $V_{0}$, which contains all those nodes having no outgoing arcs (see line 1). In the subsequent computation, we determine $V_{1}, \ldots, V_{h}$. In this process, $G$ is reduced step by step (see line 8), so is $d^{+}(v)$ for any $v \in G$ (see line 9). In order to determine $V_{i}(i>0)$, we will first find all those nodes that have at least one child in $V_{i-1}$, which are stored in a temporary variable $W$. For each node $v$ in $W$ (see line 3), we will then check whether it also has some other children not appearing in $V_{i-1}$, which is done by checking whether $d^{+}(v)>k$ in line 7 , where $k$ is the number of $v^{\prime}$ children in $V_{i-1}$. If it is the case, it will be removed from $W$ since it cannot belong to $V_{i}$. Concerning the correctness of the algorithm, we have the following proposition.

Proposition 1 Let $G_{0}=G$. Denote by $G_{j}$ the reduced graph after the $j$ th iteration of the out-most for-loop. Denote by ( $v$ ) the outdegree of $v$ in $G_{j}$. Then, any node $v$ in $G_{j}$ does not have children appearing in $V_{0} \cup \ldots \cup$ $V_{j-1}$, where $V_{0}$ contains all those nodes having no outgoing arcs, and for any $v \in V_{i}(i=1, \ldots, j-1)(v)=0$ while $(v) \neq 0, \ldots,(v) \neq 0$.

Proof. We prove the proposition by induction on $j$.
Basic step. When $j=1$, the proposition trivially holds.
Induction hypothesis. Assume that when $j=l$, the proposition holds. Then, we have
(1) $G_{l}=G \backslash\left(\bigcup_{i=0}^{l-1}\left(\bigcup_{v \in G} C_{i}(v)\right)\right.$, and
(2) $d_{l}^{+}(v)=d^{+}(v)-\sum_{i=0}^{l-1}\left|C_{i}(v)\right|$.

Now we consider the case of $j=l+1$. From lines 8 and 9 , as well as the induction hypothesis, we immediately get
(3) $G_{l+1}=G \backslash\left(\bigcup_{i=0}^{l}\left(\bigcup_{v \in G} C_{i}(v)\right)\right.$, and
(4) $d_{l+1}^{+}(v)=d^{+}(v)-\sum_{i=0}^{l}\left|C_{i}(v)\right|$.

From (3) and (4), and also from lines 7 and 11, we can see that for any node $v \in V_{l+1}$ we have $d_{l+1}^{+}(v)=0$ while $d_{0}^{+}(v) \neq 0, \ldots, d_{l}^{+}(v) \neq 0$.

From the proof of Proposition 1, we can see that

- to check whether a node $v$ in $G_{j}$ belongs to $V_{j+1}$, we need only to check whether $d_{l}^{+}(v)$ is strictly larger than $\left|C_{j}(v)\right|$ (see line 7), which requires a constant time; and
- $\quad G$ is correctly stratified.

Since each arc is accessed only once in the process, the time complexity of the algorithm in bounded by $\mathrm{O}(\mathrm{m})$.

As an example, consider the graph shown in Fig. 4(a). Applying the above algorithm to this graph, we will generate a stratification of the nodes as shown in Fig. 4(b).


Fig. 4. Illustration for DAG stratification
In Fig. 4(b), the nodes of the DAG shown in Fig. 4(a) are divided into three levels: $V_{0}=\{c, f, i, j, a, k\}, V_{1}=$ $\{b, e, h\}$, and $V_{2}=\{d, g, l\}$. Associated with each node at each level is a set of links pointing to its children at different levels. For example, node $b$ in $V_{1}$ is associated with three links respectively to nodes $c, f$, and $i$ in $V_{0}$, denoted as $C_{0}(b)=\{c, f, i\}$. (For simplicity, we use $C_{0}(b)=\{c, f, i\}$ to represent three links from $b$ to $c, f$, and $i$, respectively.)

### 2.2 Concepts of Bipartite Graphs

Now we restate two concepts from the graph theory which will be used in the subsequent discussion.
Definition 2 (bipartite graph [2]) An undirected graph $B(V, E)$ is bipartite if the node set $V$ can be partitioned into two sets $T$ and $S$ in such a way that no two nodes from the same set are adjacent. We also denote such a graph as $B(T, S ; E)$.

For any node $v \in B$, neighbor $(v)$ represents a set containing all the nodes connected to $v$.

Definition 3 (matching [2]) Let $B(V, E)$ be a bipartite graph. A subset of edges $E^{\prime} \subseteq E$ is called a matching if no two edges in $E^{\prime}$ have a common end node. A matching with the largest possible number of edges is called a maximal matching, denoted as $M_{B}$ (or simply $M$ if $B$ is clear from context.)

Let $M$ be a matching of a bipartite graph $B(T, S ; E)$. A node $v$ is said to be covered by $M$, if some edge of $M$ is incident to $v$. We will also call an uncovered node free. A path or cycle is alternating, relative to $M$, if its edges are alternately in $E \backslash M$ and $M$. A path is an augmenting path if it is an alternating path with free origin and terminus. Let $v_{1}-v_{2}-\ldots-\mathrm{v}_{k}$ be an alternating path with $\left(v_{i}, v_{i+1}\right) \in E \backslash M$ and $\left(v_{i+1}, v_{i+2}\right) \in M(i=1,3, \ldots)$. By transferring the edges on the path, we change it to another alternating path with $\left(v_{i}, v_{i+1}\right) \in M$ and $\left(v_{i+1}\right.$, $\left.v_{i+2}\right) \in E \backslash M(i=1,3, \ldots)$. In addition, we will use $\varphi_{M}(T)$ and $\varphi_{M}(S)$ to represent all the free nodes in $T$ and $S$, respectively; and use $\xi_{M}(T)$ for all the covered nodes in $T$ and $\xi_{M}(S)$ for all the covered nodes in $S$. Finally, if $(u, v) \in M$, we say, $u$ covers $v$ with respect to $M$, and vice versa, denoted as $M(u)=v$, and $M(v)=u$, respectively.

Much research on finding a maximal matching in a bipartite graph has been done. The best algorithm for this task is due to Hopcroft and Karp [13] and runs in $\mathrm{O}(m \cdot \sqrt{n})$ time, where $n=|V|$ and $m=|E|$. The algorithm proposed by Alt, Blum, Melhorn and Paul [1] needs $\mathrm{O}\left(n^{1.5} \sqrt{m /(\log n)}\right)$ time. In the case of large $m$, the latter is better than the former.

## 3 Algorithm Descriptions

In this section, we describe our algorithm for the DAG decomposition. The main idea behind it is to construct a series of bipartite graphs for $G(V, E)$ based on the graph stratification and then find a maximum matching for each of such bipartite graphs using the Hopcroft-Karp algorithm [13]. All these matchings make up a set of node-disjoint chains, which, however, may not be minimal. In the following, we first discuss an example to illustrate this idea in 3.1. Then, in 3.2, we define the so-called virtual nodes, and show how they can be used to efficiently and effectively reduce the number of node-disjoint chains. Next, in 3.3, we discuss how the virtual nodes can be resolved (removed) from created chains to get the final result.

### 3.1 An Example

Example 1 Consider the graph and the corresponding stratification shown in Fig. 4. A bipartite graph made up of $V_{0}$ and $V_{1}: B\left(V_{1}, V_{0} ; E_{1}\right)$ with $E_{1}=\boldsymbol{C}_{0}^{1}$ is shown in Fig. $5($ a $)$ and a possible maximal matching $M_{1}$ of it is shown in Fig. 5(b).

Another bipartite graph made up of $V_{1}$ and $V_{2}: B\left(V_{2}, V_{1} ; E_{2}\right)$ with $E_{2}=$ is shown in Fig. 5(c) and a possible maximal matching $M_{2}$ of it is shown in Fig. 5(d).


Fig. 5. Maximum matchings for bipartite graphs
Combining $M_{1}$ and $M_{2}$ by connecting their edges, we will get a set of seven chains, denoted by $M_{1} \bar{\cup} M_{2}$ and shown in Fig. 6(a). (Note that four of these chains each contain only a single node.)

However, if we transfer the edges on an alternating path relative to $M_{1}: f-e-c-b-i$ (see Fig. 6(b), where a solid edge represents an edge belonging to $M_{1}$ while a dashed edge to $E_{1} \backslash M_{1}$ ); and connect $g$ to $f$ as illustrated in Fig. 6(c), we will get a set of six chains as shown in Fig. 6(d). (Note that $g$ and $f$ are on a chain since there exists a path $g-e-f$, which connects $g$ and $f$.) $\square$



(c)


Fig. 6. Illustration for transferring edges on alternating paths
The question is how to efficiently find such a possible transformation to reduce the number of chains.
For this purpose, we introduce the concept of virtual nodes to transfer the reachability information and at the same time to maintain the information on how a transformation can be conducted.

### 3.2 Chain Generation

From the above example, we can see that by simply combining maximal matchings of bipartite graphs, the number of formed chains may be larger than the minimized number of chains. To solve this problem, we need to introduce some virtual nodes into the original graph, which are used to transfer the reachability information from lower levels to higher levels.

### 3.2.1 Virtual Nodes

We will work bottom-up. During the process, some virtual nodes may be added to $V_{i}(i=1, \ldots, h-1)$ level by level. However, such virtual nodes will be eventually resolved to obtain the final result.

In the following, we first give a formal definition of virtual nodes. Then, we describe how a virtual node is established. We start our discussion with the following specification:
$V_{0}{ }^{\prime}=V_{0}$.
$V_{\mathrm{i}}^{\prime}=V_{\mathrm{i}} \cup\left\{\right.$ virtual nodes added to $\left.V_{i}\right\}$ for $1 \leq i \leq h-1$.
$\boldsymbol{C}_{i}=\boldsymbol{C}_{i-1}^{i} \cup\left\{\right.$ all the new arcs from the nodes in $V_{i}$ to the virtual nodes added to $\left.V_{i-1}{ }^{\prime}\right\}$ for $1 \leq i \leq h-1$.
$B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$ - the bipartite graph containing $V_{i}$ and $V_{i-1}{ }^{\prime}$.
$M_{i}$ - a maximal matching of $B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$.

Definition 4 (virtual nodes) Let $G(V, E)$ be a DAG, divided into $V_{0}, \ldots, V_{h}$ (i.e., $V=V_{0} \cup \ldots \cup V_{h}$ ). Let $M_{i}$ be a maximal matching of $B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$ for $i=1, \ldots, h$. For each free node $v$ in $V_{i-1}{ }^{\prime}$ with respect to $M_{i}$, a virtual node $v^{\prime}$ created for $v$ is a new node added to $V_{i}(1 \leq i \leq h-1)$, denoted as $v=s\left(v^{\prime}\right)$.

The goal of virtual nodes is to establish the connection between the free nodes (with respect to a certain maximum matching of a bipartite graph) and the nodes that may be several levels apart. Therefore, for each virtual node $v^{\prime}$ (created for $v$ in $V_{i-1} 1^{\prime}$ and added to $V_{i}$ ), a bunch of virtual arcs incident to it should be created. Especially, we distinguish among three kinds of virtual arcs: inherited arcs, transitive arcs and alternating arcs, which are created as follows.
inherited arcs - If there is $u \in V_{j}(j>i)$ such that $u \rightarrow v \in E$, add $u \rightarrow v^{\prime}$, referred to as an inherited arc.
transitive arcs - If there exist $u \in V_{j}(j>i)$ and $w \in V_{i}$ such that $u \rightarrow w \in E$ and $w \rightarrow v \in \boldsymbol{C}_{i}$, add $u \rightarrow v^{\prime}$ if it has not been created as an inherited arc, referred to as a transitive arc.

In order to create the alternating arcs, we need first to find a maximum set of node-disjoint alternating paths such that

1. Each of them starts at a free node and ends at a covered node in $V_{i-1}{ }^{\prime}$; and
2. They cover all the nodes in $B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$, except several (possibly 0 ) free nodes in $V_{i-1}{ }^{\prime}$ and $V_{i}$.

For example, relative to the maximum matching shown in Fig. 5(b) for the bipartite graph shown in Fig. 5(a), we can find three such paths as shown in Fig. 7.


Fig. 7. A maximum set of alternating paths
Denote the path starting at free node $v$ by $P_{v}$. The alternating arcs can be described as below. alternating arc - If there exists $w(\neq v)$ on $P_{v}$ and $u \in V_{j}(j>i)$ such that one of the two conditions holds:

- $u \rightarrow w \in E$, or
- there is a node $w^{\prime} \in V_{i}$ such that $u \rightarrow w^{\prime} \in E$ and $w^{\prime} \rightarrow w \in \boldsymbol{C}_{i}$,
add $u \rightarrow v^{\prime}$ if it has not been created as an inherited or a transitive arc. It is referred to as an alternating arc. We create such an arc to indicate a possibility to make $v$ covered by transferring the edges on the corresponding alternating path from $v$ to $w$, and then connect $u$ and $w$ (see Fig. 6(b) and (c) for illustration.)

In addition, a virtual arc from $v^{\prime}$ to $s\left(v^{\prime}\right)$ is generated to record the relationship between $v^{\prime}$ and $s\left(v^{\prime}\right)$.
Example 2 Continued with Example 1. Relative to $M_{1}$ of $B\left(V_{1}, V_{0} ; E_{1}\right)$ shown in Fig. 5(b), $i, j$ and $k$ are three free nodes. Then, three virtual nodes $i^{\prime}, j^{\prime}$ and $k^{\prime}$ (for $i, j$ and $k$, respectively) will be created and added to $V_{1}$. Then, we have $V_{1}^{\prime}=\left\{b, e, h, i^{\prime}, j^{\prime}, k\right\}$. In addition, five virtual arcs: $d \rightarrow i^{\prime}, d \rightarrow j^{\prime}, g \rightarrow i^{\prime}, g \rightarrow j^{\prime}$, and $l \rightarrow k^{\prime}$ will be generated, shown as five dashed arcs in Fig. 8(a).

Among these virtual arcs, $l \rightarrow k^{\prime}$ is an inherited arc since in the original graph we have $l \rightarrow k$ (see Fig. 4(a)). But $d \rightarrow j^{\prime}$ and $g \rightarrow j^{\prime}$ are two transitive arcs since $j$ is reachable respectively from $d$ and $g$ through $e$ in $V_{1}$ (see Fig. 4(a)).

Finally, $d \rightarrow i^{\prime}$ and $g \rightarrow i^{\prime}$ are two alternating arcs. We join $d$ and $i^{\prime}$ since there is a node $f$ that is connected to $i$ through an alternating path: $f-e-c-b-i$ (see Fig. 6(b)) and $f$ is reachable from $d$ through a node $e$ in $V_{1}$ (see Fig. 4(a).) (We also note that $c$ is another node connected to $i$ through an alternating path: $c-b-i$, and $d \rightarrow c \in E$. However, only one alternating arc is created no matter how many possibilities we have to generate such an arc.) For the same reason, we join $g$ and $i^{\prime}$.

In Fig. 8(b), we show a possible maximum matching $M_{2}$ of $B\left(V_{2}, V_{1}{ }^{\prime} ; \boldsymbol{C}_{2}\right)$. Combining $M_{2}$ and $M_{1}$, we get a set of six chains as shown in Fig. 8(c).

On these chains, the virtual nodes $j^{\prime}$ and $k^{\prime}$ can be simply removed since they do not have a parent along the corresponding chains. In order to remove $i^{\prime}$, however, we have to transfer the edges on the alternating path: $f$ $-e-c-b-i$ and then connect $g$ and $f$, obtaining the final chains shown in Fig. 6(d). We can also transfer the edges on $c-b-i$ and then connect $g$ and $c$ to get a different set of six chains.

We will call an arc along a chain a chain arc. From the above example, we can see that how a virtual node is resolved depends on how it is connected to its parent through a chain arc. Especially, an alternating arc in fact does not represent a reach ability, but indicates a possibility to connect two nodes by transferring edges along some alternating path. Thus, we need to label virtual arcs to represent their properties, and at the same time indicate at what level a virtual node is added. Let $v^{\prime}$ be a virtual node. Depending on whether its source $s\left(v^{\prime}\right)$ is an actual node or a virtual node itself, we label the virtual arcs incident to $v^{\prime}$ in two different ways.


Fig. 8. Illustration for virtual nodes and chains
Assume that $s\left(v^{\prime}\right)$ is an actual node in $V_{i-1}$. Then, $v^{\prime}$ is a virtual node added to $V_{i}$ and an virtual arc incident to $v^{\prime}: u \rightarrow v^{\prime}$ with $u \in V_{j}(j>i)$ will be labeled as follows:
i) If $u \rightarrow v^{\prime}$ is inherited or transitive, its label $\operatorname{label}\left(u \rightarrow v^{\prime}\right)$ will be set to 0 , indicating that $s\left(v^{\prime}\right)$ is reachable from $u$ (through a path).
ii) If $u \rightarrow v^{\prime}$ is an alternating arc, label $\left(u \rightarrow v^{\prime}\right)$ will be set to $i$, indicating that to resolve $v^{\prime}$ we need to transfer edges along an alternating path in $B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$.

If $s\left(v^{\prime}\right)$ itself is a virtual node, we need to label $u \rightarrow v^{\prime}$ a little bit differently:
iii) If $u \rightarrow v^{\prime}$ is inherited, the label for it is set to be the same as label $\left(u \rightarrow s\left(v^{\prime}\right)\right)$.
iv) If $u \rightarrow v^{\prime}$ is transitive, there must exist $w_{1}, \ldots w_{k}(k \geq 1)$ in $V_{i}$ such that $w_{1} \rightarrow s\left(v^{\prime}\right), \ldots, w_{k} \rightarrow s\left(v^{\prime}\right) \in \boldsymbol{C}_{i}$ and $u \rightarrow w_{1}, \ldots, u \rightarrow w_{k} \in E$. We will label $u \rightarrow v^{\prime}$ with $\min \left\{l_{1}, \ldots, l_{k}\right\}$, where $l_{j}=\operatorname{label}\left(w_{j} \rightarrow s\left(v^{\prime}\right)\right)(j$ $=1, \ldots, k)$.
v) If $u \rightarrow v^{\prime}$ is an alternating arc, $\operatorname{label}\left(u \rightarrow v^{\prime}\right)$ is set to $i$ (in the same way as (ii)).

In addition, for convenience, all the original arcs in $G$ are considered to be labeled with 0 .
In the whole process, we will not only create a set of chains which may contain virtual nodes, but also a new graph by adding virtual nodes and virtual arcs to $G$, called a companion graph of $G$, denoted as $G_{c}$, which will be used for resolution of virtual nodes.

Example 3 Consider the graph shown in Fig. 9(a). This graph can be divided into four levels as shown in Fig. 9(b).


Fig. 9. A DGA and its stratification
In Fig. 10(a), we show the bipartite graph $B\left(V_{1}, V_{0} ; \boldsymbol{C}_{1}\right)$ made up of the first two levels. A possible maximal matching $M_{1}$ of it is shown in Fig. 10 (b). Relative to $M_{1}, a, b$ and $c$ are three free nodes in $V_{0}$. So three virtual nodes $a^{\prime}, b^{\prime}$ and $c^{\prime}$ will be created and added to $V_{1}$ as shown in Fig. 10(c). At the same time, $13 \operatorname{arcs}$ will be created. Among them, only one arc connects a node in $V_{3}$ to one of these virtual nodes while all the remaining 12 arcs connect some nodes in $V_{2}$ to them, as described below.


Fig. 10. Illustration for generation of paths

- The only new arc connecting a node $l$ in $V_{3}$ to a virtual node $a^{\prime}: l \rightarrow a^{\prime}$ is an inherited arc, labeled with 0 according to (i). (There is neither alternating nor transitive arc connecting any node in $V_{3}$ to any of these virtual nodes.)

The 12 new arcs connecting the nodes in $V_{2}$ to some virtual nodes can be divided into two groups.
Group 1 contains 6 transitive arcs, labeled with 0 according to (i):

$$
\begin{aligned}
& h \rightarrow a^{\prime}, h \rightarrow b^{\prime} \text { and } h \rightarrow c^{\prime} . \\
& j \rightarrow a^{\prime}, j \rightarrow b^{\prime} \text { and } j \rightarrow c^{\prime} .
\end{aligned}
$$

Group 2 contains 6 alternating arcs, labeled with 1 according to (ii):

$$
\begin{aligned}
& i \rightarrow a^{\prime}, i \rightarrow b^{\prime} \text { and } i \rightarrow c^{\prime} . \\
& k \rightarrow a^{\prime}, k \rightarrow b^{\prime} \text { and } k \rightarrow c^{\prime}
\end{aligned}
$$

Thus, $V_{1}{ }^{\prime}=\left\{a^{\prime}, b^{\prime}, c^{\prime}, f, g\right\} . B\left(V_{2}, V_{1}{ }^{\prime} ; \boldsymbol{C}_{2}\right)$ is shown in Fig. $10(\mathrm{~d})$. Assume that the maximal matching $M_{2}$ found for it is as shown in Fig. 10(e). Relative to $M_{2}, b^{\prime}$ is a free nodes in $V_{1}{ }^{\prime}$ and then a virtual nodes $b^{\prime \prime}$ will be created and added to $V_{2}$ (see Fig. 10(f).) In addition, three transitive arcs: $l \rightarrow b^{\prime \prime}, m \rightarrow b^{\prime \prime}$ and $n \rightarrow$ $b^{\prime \prime}$ will be created. All of them are labeled with 1 .
$l \rightarrow b^{\prime \prime}$ is added because $b^{\prime}$ is reachable from $l$ through $i$ in $V_{1}{ }^{\prime}$. Label $\left(l \rightarrow b^{\prime \prime}\right)$ is set to be 1 according to (iv) since $\operatorname{label}\left(i \rightarrow b^{\prime}\right)=1$. The same analysis applies to the other two arcs.
$B\left(V_{3}, V_{2}^{\prime} ; \boldsymbol{C}_{3}\right)$ is shown in Fig. $9(\mathrm{f})$. Fig. $10(\mathrm{~g})$ shows a possible maximal matching $M_{3}$ of this bipartite graph. Combining $M_{1}, M_{2}$, and $M_{3}$, we get $M_{1} \bar{\cup} M_{2} \bar{\cup} M_{3}$. This plus all the free nodes in $V_{3}$ make up a set of six chains as shown in Fig. 10(h), and one of them contains only a single node. This must be a minimum set since the original graph contains an antichain of 6 nodes: $\{a, b, c, k, m, n\}$.

In terms of the above discussion, we give the following algorithm.

## Algorithm GenChain(stratification of G)

input: a graph stratification. output: a set of chains which may contain virtual nodes.

## Begin

1. $\quad V_{0}{ }^{\prime}:=V_{0}$;
2. for $i=1$ to $h$ do
3. $\left\{\right.$ find $M_{i}$ for $G\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$
4. let $v_{1}, \ldots, v_{k}$ be all the free nodes in $V_{i-1}{ }^{\prime}$, relative to $M_{i}$;
5. $\quad V_{i}{ }^{\prime}:=V_{i} \cup\left\{v_{1}{ }^{\prime}, \ldots, v_{k}{ }^{\prime}\right\} ;\left({ }^{*} v_{1}{ }^{\prime}, \ldots, v_{k}{ }^{\prime}\right.$ are the virtual nodes created for $v_{1}, \ldots, v_{k}$, respectively. $\left.{ }^{*}\right)$
6. let $u_{1}, \ldots, u_{j}$ be all the covered virtual nodes in $V_{i-1}{ }^{\prime}$;
7. for $l=1$ to $j$ do
8. \{ remove all the virtual arcs incident to $u_{l}$, except the arc belonging to $M_{i}$; \}
9. for $l=1$ to $k$ do
10. \{ create all the virtual arcs incident to $v_{l}^{\prime} ;$ \}
11. \}
12. $M:=M_{1} \overline{ } \ldots \bar{\cup} M_{h}$; return $M$;

## End

In the above algorithm, special attention should be paid to lines 6-8, by which all the virtual arcs incident to a covered virtual node in $V_{i-1}{ }^{\prime}$ (i.e., a virtual node in $V_{i-1}{ }^{\prime}$, which is covered relative to $M_{i}$ ), except the corresponding arcs belonging to $M_{i}$, will be removed since they will not be used any more in the subsequent computation for the chain generation. Therefore, at any point in time, the number of virtual arcs maintained in the process is bounded by $\mathrm{O}(\kappa \cdot n)$.

### 3.2.2 Computational complexity of chain generation

We now analyze the time complexity of the chain generation.
In general, the cost of this process can be divided into two parts:

- cost $_{1}$ : The time for finding a maximal matching of every $B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)\left(i=1, \ldots, h ; V_{0}{ }^{\prime}=V_{0}\right)$; and
- cost 2 : The time for generating virtual arcs.

We first prove three lemmas to show that for any $i \in\{1, \ldots, h-1\}\left|V_{i}^{\prime}\right| \leq \kappa$.
Let $M$ be a maximal matching of a bipartite graph $B\left(V_{1}, V_{0} ; E\right)$. Let $Y_{i}(i=0,1)$ be a subset of $V_{i}$. We denote by $M\left(Y_{i}\right)(i=0,1)$ a subset of $V_{(i+1) \bmod 2}$ such that for each $v \in M\left(Y_{i}\right)$ there exists a node $u$ in $Y_{i}$ with $(u, v) \in M$. We further divide $M$ into three (possibly empty) groups: $M[1], M[2]$, and $M[3]=M(M[1] \cup M[2])$ such that

- for each $v \in \xi_{M[1]}\left(V_{1}\right)$, there exists at least one alternating path connecting a free node in $\varphi_{M}\left(V_{0}\right)$ to $v$; (remember that $\varphi_{M}\left(V_{i}\right)$ stands for all the free nodes in $V_{i}$ relative to $M$ while $\xi_{M}\left(V_{i}\right)$ for all the covered nodes in $V_{i}$ by $M$. So $\xi_{M[1]}\left(V_{i}\right)$ represents all those nodes in $V_{i}$ covered by $M[1]$.)
- for each $v \in \xi_{M[2]}\left(V_{0}\right)$, there exists at least one alternating path connecting a free node in $\varphi_{M}\left(V_{1}\right)$ to $v$;
- for each $v \in \xi_{M[3]}\left(V_{0}\right)$, there exists no alternating path connecting it to any node in $\varphi_{M}\left(V_{1}\right) \cup \xi_{M[2]}\left(V_{1}\right)$; and for each $u \in \xi_{M[3]}\left(V_{1}\right)$, there exists no alternating path connecting it to any node in $\varphi_{M}\left(V_{0}\right) \cup \xi_{M[1]}\left(V_{0}\right)$.

Concerning this partition of $M$, we have the following lemma.
Lemma 1 Any node in $\xi_{M[1]}\left(V_{0}\right)$ does not connect to any node in $\varphi_{M}\left(V_{1}\right) \cup \xi_{M[2]}\left(V_{1}\right)$ through an alternating path relative to $M$. Also, any node in $\xi_{M[2]}\left(V_{1}\right)$ does not connect to any node in $\varphi_{M}\left(V_{0}\right) \cup \xi_{M[1]}\left(V_{0}\right)$ through an alternating path relative to $M$.

Proof. Let $v$ be a node in $\xi_{M[1]}\left(V_{0}\right)$. Assume that there is an alternating path $P$ connecting $v$ to a free node $u$ in $\varphi_{M}\left(V_{1}\right)$. Then, the path from a free node $w$ in $\varphi_{M}\left(V_{0}\right)$ to $M(v)$, the edge $(M(v), v)$, and $P$ together make up an augmenting path connecting $w$ and $u$, contradicting the fact that $M$ is a maximal matching. Therefore, any node in $\xi_{M[1]}\left(V_{0}\right)$ does not connect to any node in $\varphi_{M}\left(V_{1}\right)$. In the same way, we can prove the rest part of the lemma. $\square$

From this lemma, the following lemma can be immediately derived, by which we show how to find an antichain for bipartite graph.

Lemma 2 Let $M$ be a maximal matching of a bipartite graph $B\left(V_{1}, V_{0} ; E\right)$. Then, $\varphi_{M}\left(V_{0}\right) \cup M \cup \varphi_{M}\left(V_{1}\right)$ make up a minimized set of chains of $B\left(V_{1}, V_{0} ; E\right)$; and $\varphi_{M}\left(V_{0}\right) \cup \varphi_{M}\left(V_{1}\right) \cup \xi_{M[1]}\left(V_{0}\right) \cup \xi_{M[2]}\left(V_{1}\right) \cup \xi_{M[3]}\left(V_{0}\right)$ is one of its antichains.

Proof. It is easy to see that $\varphi_{M}\left(V_{0}\right) \cup M \cup \varphi_{M}\left(V_{1}\right)$ is a minimized set of chains of $B\left(V_{1}, V_{0} ; E\right)$. It is also easy to see that any node in $\xi_{M[3]}\left(V_{0}\right)$ is not reachable from any node in $\varphi_{M}\left(V_{1}\right) \cup \xi_{M[2]}\left(V_{1}\right)$. Then, from Lemma 1, we can see that any node in $\xi_{M[1]}\left(V_{0}\right)$ is not reachable from $\varphi_{M}\left(V_{1}\right) \cup \xi_{M[2]}\left(V_{1}\right)$, and thus any pair of nodes in $A=\varphi_{M}\left(V_{0}\right) \cup \varphi_{M}\left(V_{1}\right) \cup \xi_{M[1]}\left(V_{0}\right) \cup \xi_{M[2]}\left(V_{1}\right) \cup \xi_{M[3]}\left(V_{0}\right)$ are not reachable from each other. Therefore, $A$ is an antichain. In addition, we have $\left.\mid \varphi_{M}\left(V_{0}\right) \cup \mathrm{M} \cup \varphi_{M}\left(V_{1}\right)\right)|=|A|$. So $A$ is a maximum antichain.

Lemma 3 Let $G(V, E)$ be a DAG, divided into $V_{0}, \ldots, V_{h}$ (i.e., $V=V_{0} \cup \ldots \cup V_{h}$ ). Let $M_{i}$ be a maxima matching of the bipartite graph $B\left(V_{i}, V_{i-1}^{\prime} ; \boldsymbol{C}_{i}\right) . V_{i}^{\prime}=V_{i} \cup\left\{\right.$ virtual nodes added to $\left.V_{i}\right\}$ for $1 \leq i \leq h-1$. Then, for any $i \in\{1, \ldots, h-1\}$, we have $\left|V_{i}\right| \leq \kappa$.

Proof. First, we notice that $\left|V_{1}{ }^{\prime}\right|=\left|V_{1}\right|+\left|\varphi_{M_{1}}\left(V_{0}\right)\right|=\mid \varphi_{M_{2}}\left(V_{1}\right) \cup \varphi_{M_{1}}\left(V_{1}\right) \cup \xi_{M_{1}[1]}\left(V_{0}\right) \cup \xi_{M_{1}[2]}\left(V_{1}\right) \cup$ $\xi_{M_{1}[3]}\left(V_{0}\right) \mid \leq \kappa$. Then, we analyze the size of $V_{2}{ }^{\prime}$. Obviously, $\left|V_{2}^{\prime}\right|=\left|V_{2}\right|+\left|\varphi_{M_{2}}\left(V_{1}{ }^{\prime}\right)\right|=|A|$, where $A=$ $\varphi_{M_{2}}\left(V_{1}{ }^{\prime}\right) \cup \varphi_{M_{2}}\left(V_{2}\right) \cup \xi_{M_{2}[1]}\left(V_{1}\right) \cup \xi_{M_{2}[2]}\left(V_{2}\right) \cup \xi_{M_{2}[3]}\left(V_{1}{ }^{\prime}\right)$. According to Lemma 2, $A$ is an antichain of $G\left(V_{2}, V_{1}^{\prime} ; \boldsymbol{C}_{2}\right)$. Let $R=\varphi_{M_{2}}\left(V_{1}\right) \cup \xi_{M_{2}[1]}\left(V_{1}{ }^{\prime}\right) \cup \xi_{M_{2}[3]}\left(V_{1}^{\prime}\right)$. We will distinguish between two cases:

1. $R$ does not contain virtual nodes. Then, $A$ is a set such that any two nods in it are not connected through a path. Thus, $|\mathrm{A}| \leq \kappa$.
2. $\quad R$ contains at least a virtual node. In this case, we will replace each virtual node $v$ in $R$ with $s(v)$ (a free node in $V_{0}$ ) and each of those nodes $u$ in $R$ with $M_{1}(u)$ (a node in $V_{0}$ such that $(v, u) \in M_{1}$ ) which belong to $\xi_{M_{1}[1]}\left(V_{1}\right)$ and connected to a free node of $V_{0}$ relative to $M_{1}$ (through an alternating path), resulting in a new set $R^{\prime}$ with the following properties:
i) Any two nodes in $R^{\prime}$ are not connected through a path according to Lemma 1.
ii) Any node $w$ in $R^{\prime}$ is not connected to a node in $D=\varphi_{M_{2}}\left(V_{2}\right) \cup \xi_{M_{2}[2]}\left(V_{2}\right)$. Otherwise, if $w$ is a free node of $V_{0}$ relative to $M_{1}$ in $R^{\prime}$, then its virtual node must be connected to that node in $D$. Contradiction. If $w$ is a node in $V_{0}$ such that $w=M_{1}(u)$ for some $u \in \xi_{M_{1}[1]}\left(V_{1}\right)$, there must be a free node of $V_{0}$ relative to $M_{1}$ in $R^{\prime}$, which is connected to a node in $D$. Contradiction.

Let $R^{\prime \prime}=D \cup R^{\prime}$. It can be seen that $R^{\prime \prime}$ is a set in which any two nodes are connected through a path. Therefore, $|A|=\left|R^{\prime \prime}\right| \leq \kappa$.

Repeating the above argument to all $V_{i}{ }^{\prime}$ with $i \geq 3$, we can prove the lemma.
Based on Lemma 3, the time complexity of chain generation can be easily estimated.
First, using the Hopcroft and Karp algorithm [13], the time for finding a maximal matching of $B\left(V_{i}, V_{i-1} ; \boldsymbol{C}_{i}\right)$ is bounded by

$$
\mathrm{O}\left(\sqrt{\left|V_{i}\right|+\left|V_{i-1}\right|} \cdot\left|\boldsymbol{C}_{i}\right|\right)
$$

Therefore, cost $_{1}$ is bounded by

$$
\begin{aligned}
& \mathrm{O}\left(\sum_{i=1}^{k}\left(\sqrt{\left|V_{i}\right|+\left|V_{i-1}^{\prime}\right| \cdot\left|C_{i}\right|}\right)\right) \\
& \leq \mathrm{O}\left(\sqrt{k} \sum_{i=1}^{h} k\left|V_{i}\right|\right)=\mathrm{O}(\sqrt{\kappa} \cdot \kappa \cdot n)
\end{aligned}
$$

For estimating $\operatorname{cost}_{2}$, we need to compute the costs for creating all the inherited, transitive and alternating arcs. First, for a virtual node, the cost for generating inherited arcs is a constant since we can simply promote the corresponding free node from its level to the level above it and handle it as virtual. (For example, to create a virtual node for a node $v$ at level $i$, we can add $v$ to level $i+1$. Then create a node containing only a link to $v$ and leave it at level $i$, used as a representative of $v$.)

Secondly, the cost for creating the transitive arcs for all the virtual nodes added to $V_{\mathrm{i}}$ is obviously bounded by $\mathrm{O}\left(\left|V_{i-1}{ }^{\prime}\right| \cdot\left|V_{i}\right| \cdot n\right)$. It is because at most $\left|V_{i-1}{ }^{\prime}\right|$ virtual nodes can be added to $V_{i}$ and the number of all the transitive arcs incident to each of these virtual nodes is bounded by $\mathrm{O}\left(\left|V_{i}\right| \cdot n\right)$.

So the total cost for creating the transitive arcs is bounded by

$$
\sum_{i=1}^{h}\left|V_{i-1} '^{\prime}\right| \cdot\left|V_{i}\right| \cdot n \leq \kappa \cdot n \cdot \sum_{i=1}^{k}\left|V_{i}\right|=\mathrm{O}\left(\kappa \cdot n^{2}\right) .
$$

Finally, we notice that for generating the alternating arcs incident to the virtual nodes added to $V_{i}$, we need to search $B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$ once for each of them, and the number of the arcs in $B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$ is bounded by $\mathrm{O}\left(\mid V_{i-}\right.$ $\left.{ }_{1}{ }^{\prime}|\cdot| V_{i} \mid\right) \leq \mathrm{O}\left(\kappa \cdot\left|V_{i}\right|\right)$. In addition, for each free node $v$ (in $V_{i-1}{ }^{\prime}$ ), the number of all those nodes $z$ (in $V_{i-1}{ }^{\prime}$ ) which are connected to $v$ through an alternating path is bounded by $\left|V_{i}\right|$ since each of such nodes must be covered relative to $M_{i}$. For each $z$, we need to search at most $\left|V_{i}\right|$ arcs to find all those $w$ in $V_{i}$ such that $w \rightarrow z \in \boldsymbol{C}_{i}$. Moreover, for each $w$, we have to further find all those nodes $u$ such that $u \rightarrow w \in E$. So the cost for generating all the alternating arcs incident to $v$ is bounded by $\mathrm{O}\left(\left|V_{i-1}{ }^{\prime}\right| \cdot\left|V_{i}\right|+\left|V_{i}\right|^{2}+\left|V_{i}\right| \cdot n\right)$. Therefore, the total cost for creating all the alternating arcs is bounded by

$$
\kappa \cdot \sum_{i=1}^{h}\left|V_{i-1}\right| \cdot\left|V_{i}\right|+\left|V_{i}\right|^{2}+\left|V_{i}\right| \cdot n=\mathrm{O}\left(\kappa^{2} \cdot n+\kappa \cdot n^{2}\right) .
$$

In terms of the above analysis, we have the following proposition.
Proposition 2 The time for creating a minimized set of disjoint chains, which may contain virtual nodes, is bounded by $\mathrm{O}\left(\kappa^{2} \cdot n+\kappa \cdot n^{2}\right)$.
The analysis of the space requirement of this process is quite simple. As mentioned in 3.2.1, at any time point, we maintain at most $\mathrm{O}(\kappa \cdot n)$ virtual arcs. Besides this, all the bipartite graphs: $B\left(V_{1}, V_{0} ; \boldsymbol{C}_{1}\right), B\left(V_{2}, V_{1}{ }^{\prime}\right.$; $\left.\boldsymbol{C}_{2}\right), \ldots, B\left(V_{h}, V_{h-1}{ }^{\prime} ; \boldsymbol{C}_{h}\right)$ have to be maintained.
Thus, the space overhead is bounded by

$$
\mathrm{O}\left(\kappa \cdot n+\sum_{i=1}^{h}\left|V_{i-1}\right|^{\prime} \cdot\left|V_{i}\right|\right)=\mathrm{O}(\kappa \cdot n) .
$$

### 3.3 Virtual Node Resolution

After the chain generation, the next step is to resolve virtual nodes on chains. In the following, we will first discuss the working process to remove virtual nodes in 3.3.1. Then, in 3.3.2, we analyze its computational complexities.

### 3.3.1 Removing virtual nodes

To remove virtual nodes from chains, we will work with the companion graph $G_{c}$. Two steps will be carried out:

1. Connect some nodes according to the connectivity represented by the virtual nodes and then remove them.
2. Establish new connections between free nodes by transferring edges along alternating within a bipartite graph or cross more than one bipartite graph.

In the first step, we will check $G_{c}$ top-down and remove virtual nodes level by level. Let $v$ be a virtual node in $V_{i}^{\prime}$. Let $u_{1}, \ldots, u_{k}$ be its parents in $G_{c}$. Wi will distinguish between two cases:
i) $s(v)$ is an actual node. If $\operatorname{label}\left(u_{j} \rightarrow v\right)=0(j=1, \ldots, k)$, connect $u_{j}$ to $s(v)$. Otherwise, label $\left(u_{j} \rightarrow v\right)$ must be equal to $i$, and we will connect $u_{j}$ to each node $w$ which is connected to $s(v)$ through an alternating path in $B\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$ and $w$ is reachable from $u_{j}$.
ii) $s(v)$ itself is a virtual node. If $\operatorname{label}\left(u_{j} \rightarrow v\right)>i$, connect $u_{j}$ to $s(v)$ and $\operatorname{label}\left(u_{j} \rightarrow s(v)\right)$ is set to be the same as label $\left(u_{j} \rightarrow v\right)$. Otherwise, $\operatorname{label}\left(u_{j} \rightarrow v\right)=i$. In this case, we will connect $u_{j}$ to some nodes in in the same way as (i).

See Fig. 11 for illustration


Fig. 11. Illustration for virtual node resolution


Fig. 12. Illustration for transferring edges along alternating paths
Let $v$ be a virtual node and $u$ be its parent along a chain. We call $u \rightarrow v$ is a chain arc. To remove all the virtual nodes, we will go through three steps.

In the first step, all those virtual nodes that do not have a parent along a chain we will be simply eliminated.
In the second step, we will check all those chain arcs with $\operatorname{label}(u \rightarrow v)$ < level $(v)$. For such an arc, we will directly connect $u$ to $s(v)$ and then remove $v$. $\operatorname{label}(u \rightarrow s(v))$ is set to be the same as $\operatorname{label}(u \rightarrow v)$. If $\operatorname{label}(u$ $\rightarrow s(v))<\operatorname{level}(s(v))$, we will connect $u$ to $s^{2}(v)=s(s(v))$ and remove $s(v)$. We repeat this operation until we meet $s^{i}(v)$ for some $i$ such that $\operatorname{label}\left(u \rightarrow s^{i}(v)\right)=\operatorname{level}\left(s^{i}(v)\right)$.

After these two steps, we have only those virtual nodes $v$ with label $(u \rightarrow v)=\operatorname{level}(v)$ left on the chains, where $u$ is the parent of $v$ along the corresponding chain. Then, in the third step, we will remove all such virtual nodes by using alternating paths.

For this purpose, we first construct a combined graph, denoted as $\mathfrak{I}_{G}$, over all the bipartite graphs of $G$, based on $G_{c}$. We notice that in $G_{c}$ all the generated virtual arcs are maintained.

1. A node in $V_{i}(i=1, \ldots h-1)$ will appear two times: one is in $\vec{B}\left(V_{i+1}, V_{i}^{\prime} ; \boldsymbol{C}_{i+1}\right)$, and one is in $\vec{B}\left(V_{i}, V_{i-1}{ }^{\prime}\right.$; $\boldsymbol{C}_{i}$ ), but they are considered to be different nodes.
2. For each ending node of a chain $v \in V_{i}$ in $\vec{B}\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)(i=2, \ldots, h)$, we will search $G$ starting from each node $u \in V_{i}$ which is connected to $v$ through an alternating path in $\vec{B}\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)$, and connect $u$ to each reachable node (in this way, part of the transitive closure is established.)
3. Remove all the remaining virtual nodes level by level. Let $v$ be a virtual node in $V_{i}^{\prime}\left(\right.$ in $\left.\vec{B}\left(V_{i+1}, V_{i}^{\prime} ; \boldsymbol{C}_{i+1}\right)\right)$, connect each of its parent $u$ to some nodes $w$ in $V_{i-1}{ }^{\prime}\left(\right.$ in $\left.\vec{B}\left(V_{i}, V_{i-1}{ }^{\prime} ; \boldsymbol{C}_{i}\right)\right)$ as below.
i) If label $(u \rightarrow v)=i, w$ should be a node connected to $s(v)$ through an alternating path. $W$ is actual node, and $\operatorname{label}(u \rightarrow w)$ is implicitly set to be 0 .
ii) If $\operatorname{label}(u \rightarrow v)<i, w=s(v)$ and $\operatorname{label}(u \rightarrow w)$ is set to be the same as $\operatorname{label}(u \rightarrow v)$.

In Fig. 12, we show the construction of a combined graph over the bipartite graphs shown in Fig. 11.
Next, we need to define an important concept, the so-called alternating graphs.
Definition 5 (alternating graph) Let $B(T, S ; E)$ be a bipartite graph. Let $M$ be a matching of $B(T, S ; E)$. The alternating graph $\vec{B}$ with respect to $M$ is a directed graph with the following sets of nodes and arcs:

$$
\begin{aligned}
\vec{V}=V(\vec{B})= & T \cup S, \text { and } \\
\vec{E}=E(\vec{B})= & \{u \rightarrow v \mid u \in S, v \in T, \text { and }(u, v) \in M\} \cup \\
& \{v \rightarrow u \mid u \in S, v \in T, \text { and }(u, v) \in E \backslash M\} .
\end{aligned}
$$

By this definition, an alternating graph of a bipartite graph is almost the same as the original bipartite graph, but only with the covered and uncovered edges being set with reverse directions.

Now what we need to do is to find a maximum set of node-disjoint paths in the alternating version of the combined graph, each starting from an end node of a chain or a node which is a parent of some virtual node along a chain, and ending at a starting node of a chain.

This must be a minimum set since the original graph contains an antichain of size 6: $\{a, b, c, k, m, n\}$.
It remains to show how to find a maximal set of node-disjoint paths in $\mathfrak{I}_{G}$. For this purpose, we define a maximum flow problem over $\mathfrak{I}_{G}$ (with multiple sources and sinks) as follows:

- Each free node $v \in V_{i}$ in $\vec{B}\left(V_{i}, V_{i-1} ; \vec{E}_{i}\right)$ (with respect to $M_{i}, i=2, \ldots, h$ ) is designated as a source. Each free node $u \in V_{j-1}$ in $\vec{B}\left(V_{j}, V_{j-1} ; \vec{E}_{j}\right)$ (with respect to $M_{j}, j=1, \ldots, h-1$ ) is designated as a sink.
- Each arc $u \rightarrow v$ is associated with a capacity $c(u, v)=1$. (If nodes $u, v$ are not connected, $c(u, v)$ is considered to be 0 .)

It is a typical 0-1 network. Finding a maximum flow corresponds to finding a maximum set of node-disjoint paths.

See Fig. 13(a), (b) and (c) for illustration. In Fig. 13(d), we show the final result obtained by transferring edges on the alternating paths.


Fig. 14. A set of node-disjoint paths and the final result

### 3.3.2 Computational complexities of virtual node resolution

In this subsection, we analyze the time complexity of the virtual node resolution. The dominant cost of this process consists of two parts:

- cost $_{3}$ : the time for constructing combined graphs $\mathfrak{J}_{G}$; and
- cost $_{4}$ : the time for finding a maximum set of bode-disjoint paths in $\overrightarrow{\mathfrak{J}}_{G}$.

Let $u_{1}, \ldots, u_{k}(k<\kappa)$ be all the end nodes of chains appearing in $V_{2}$ or higher. We will estimate the number $n_{i}$ of the nodes connected to each $u_{i}(i=1, \ldots, k)$ through an alternating path within the corresponding bipartite graph.

To this end, we consider the transitive closure $G^{*}\left(V, E^{*}\right)$ of $G$. We make a bipartite graph $B_{G}$ as follows.

1. For each $v \in V$, we produce two nodes $x_{v}$ and $y_{v}$.
2. For any two nodes $u, v \in V$, we will connect $x_{u}$ and $y_{v}$ if $u \rightarrow v \in E^{*}$.

Let $M$ be a maximum matching of $B_{G}$. We have $|M| \leq n$. Notice that the chains generated by Algorithm GenChain(stratification of $G$ ) corresponds a matching $M^{\prime}$ of $B_{G}$. Since on the chains almost each node is connected to another node and only some nodes are connected to virtual nodes, it is reasonable to assume that $\left|M^{\prime}\right|=\alpha \cdot|M|$, where $\alpha$ is a constant with $0<\alpha \leq 1$. The following proposition can be found in [2].

Proposition $3 M \Delta M^{\prime}$ contains at least $|M|-|M|$ node-disjoint augmenting paths relative to $M^{\prime}$, where $M \Delta$ $M^{\prime}=\left(M \cup M^{\prime}\right) \backslash\left(M \cap M^{\prime}\right)$, referred to as the symmetric difference of $M$ and $M^{\prime}$.

From this proposition, the following lemma can be easily derived.
Lemma 4 The average length of an augmenting path relative to $M^{\prime}$ is $2\left\lfloor M^{\prime} \mid /\left(|M|-\left|M^{\prime}\right|\right)\right\rfloor+1$.
We have Lemma 4 since on average each augmenting path contains $\left\lfloor M^{\prime} \mid /\left(|M|-\left|M^{\prime}\right|\right)\right\rfloor$ edges from $M^{\prime}$, and so $2\left\lfloor M^{\prime} \mid /\left(|M|-\left|M^{\prime}\right|\right)\right\rfloor+1$ edges altogether.

Since $|M \upharpoonleft=\alpha \cdot| M \mid$, we have

$$
n_{i}=2\left\lfloor M^{\prime} \mid /\left(|M|-\left|M^{\prime}\right|\right)\right\rfloor+1=2\lfloor\alpha /(1-\alpha)\rfloor+1 .
$$

In addition, each $v_{i}(i=1, \ldots k)$ can be at most on one of such node-disjoint augmenting paths, and $k$ is bounded by $\kappa$. Therefore, the average value of $\operatorname{cost}_{3}$ is bounded by

$$
\mathrm{O}\left(\sum_{i=1}^{k} n_{i} \cdot|E|\right)=\mathrm{O}(\kappa \cdot m) .
$$

To estimate cost $_{4}$, we need first to establish a lemma.
Lemma 5 For each arc $e$ in $\mathfrak{J}_{G}$ corresponding to an edge in some maximum matching found for a bipartite graph, if is followed by another arc $e^{\prime}$, then $e^{\prime}$ must be an arc which corresponds to an edge not belonging to any maximum matching. Similarly, any arc corresponding to an edge not covered by any maximum matching must be followed by an arc corresponding to a covered edge if any.

Proof. Obviously, for any arc corresponding to an edge within a bipartite graph, the lemma holds. For any arc which crosses bipartite graphs, it always goes from some $V_{i}$ in $\vec{B}\left(V_{i}, V_{i-1} ; \vec{E}_{i}\right)(i=2, \ldots, h)$ to a node in some $V_{j}$ in $\vec{B}\left(V_{j+1}, V_{j} ; \vec{E}_{j+1}\right)$ with $j<i-1$. So it cannot be an arc corresponding to a covered edge, but must be followed by an arc corresponding to a covered edge.

From this lemma, the following corollary can be immediately derived.
Corollary 1 For each node $v$ in $\mathfrak{J}_{G}$, either there is only one arc emanating from it or only one arc entering it. $\square$

According to Corollary 1, we know that by using Dinic's algorithm [9] the time required to find a maximum flow and then a maximum set of node-disjoint paths is bounded by $\mathrm{O}\left(\left|V\left(\mathfrak{J}_{G}\right)\right|^{0.5} \cdot\left|E\left(\mathfrak{J}_{G}\right)\right|\right)$ (see pp. 119-121 in [2] for a detailed analysis.) For self-explanation, we also give a modified version of Dinic's algorithm adapted to our problem in Appendix.

Proposition 4 The time complexity of the whole process to resolve virtual nodes is bounded by $\mathrm{O}\left(\kappa \cdot n^{2}+\right.$ $\kappa \cdot m$ ).

## 4 Correctness

In this subsection, we prove the correctness of our algorithm.
First, for any graph which can be divided into two levels the algorithm obviously produce a correct answer.
For any graph which can divided into three levels, the result created by the algorithm is also correct, as stated in the following lemma.

Lemma 6 For any three-level graph $G(V, E)$, the number of chains generated by the algorithm is minimum.
Proof. Let $V=V_{0} \cup V_{1} \cup V_{2}$. If no virtual node is created, the lemma obviously holds. So we assume that some virtual nodes for the free nodes in $V_{0}$ relative to $M_{1}$. In this case, all the virtual nodes $v$ will be removed by constructing $\mathfrak{J}_{G}$, in which each node on an alternating path starting from a free node in $V_{2}$ relative to $M_{2}$ will be connected to all reachable nodes in $V_{0}$. Also, $v$ 's parent (in $V_{2}$ ) will be connected to all the reachable nodes in $V_{0}$. By transferring edges on each path of a maximum set of node-disjoint paths each starting from a parent of a virtual node or a free node in $V_{2}$, and terminating at a free node in $V_{1}$ or $V_{0}$, we will get the result. It must be a minimum set of chains since the number of the free nodes which become covered is maximized.

Proposition 5 The number of the chains generated for a DGA by our algorithm is minimum.

Proof. We prove the proposition by induction on $h$.
Initial step. When $h=1,2$, the proposition holds according to Lemma 6 .
Induction step. Assume that for any DAG of height $k$, the proposition holds. Now we consider the case when $h=k+1$. First, we construct a new graph $G^{\prime}$ from $G(V, E)$ as follows:

1. Stratify $G$, dividing $V$ into $V_{0}, \ldots, V_{h}$ (i.e., $V=V_{0} \cup \ldots \cup V_{h}$ ).
2. Find a maximum matching $M_{1}$ of $G\left(V_{1}, V_{0} ; \boldsymbol{C}_{1}\right)$. Construct virtual nodes for all the free nodes in $V_{0}$, and add them into $V_{1}$. Add all the virtual arcs as described in 3.2. Then, remove $V_{0}$.

So $G^{\prime}$ is of height $k$. According to the induction hypothesis, a minimal set $Q$ of disjoint chains can be found.
Let $u_{1}, \ldots, u_{k}(k<\kappa)$ be all the end nodes of chains appearing in $V_{2}$ or higher. We connect each node on an alternating path starting from a $u_{i}(1 \leq i \leq k)$ to a reachable node in $V_{0}$; and then connect the parents of the virtual nodes to all the respective reachable nodes in $V_{0}$. Removing the virtual nodes. In a next step, we will find a maximum set of node-disjoint paths each starting from a $u_{i}$ or a parent of some virtual node along a chain, and ending at a free node in $V_{0}$. Assume that there are still $l$ free nodes not on any of such nodedisjoint paths. Thus, $Q$ and these $l$ free nodes make up a set of chain. It must be minimum since $Q$ is minimum and $l$ is also minimum. It should be the same as the result by applying the algorithm to $G$ up to the edge transferring, as illustrated in Fig. 14.


Fig. 14. A set of node-disjoint paths; each of them is an alternating path

## 5 Conclusion

In this paper, a new algorithm for finding a minimal decomposition of DAGs is proposed. The algorithm needs $\mathrm{O}\left(\kappa \cdot n^{2}\right)$ time and $\mathrm{O}(\kappa \cdot n+m)$ space, where $n$ and $m$ are the number of the nodes and the arcs in a DAG $G$, respectively; and $\kappa$ is the width of $G$. The main idea of the algorithm is the concept of virtual nodes and the DAG stratification that generates a series of bipartite graphs which may contain virtual nodes. By executing Hopcropt-Karp's algorithm, we find a maximum matching for each of such bipartite graphs, which make up a set of node-disjoint chains. A next step is needed to resolve all the virtual nodes appearing on the chains to get the final result.

We also point out that our algorithm can be easily modified to a $0-1$ network flow algorithm by defining a chain to be a path and accordingly changing the conditions for creating transitive and alternating arcs.

## Competing Interests

Authors have declared that no competing interests exist.

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## APPENDIX

## Find node-disjoint paths in Combined graphs

## A. 1 Algorithm

In the appendix, we discuss an algorithm for finding a maximal set of node-disjoint paths in a combined graph $\mathfrak{J}_{G}$. Its time complexity is bounded by $\mathrm{O}(e \cdot \sqrt{n})$, where $n=V\left(\mathfrak{J}_{G}\right)$ and $e=E\left(\mathfrak{J}_{G}\right)$. It is in fact a modified version of Dinic's algorithm [6], adapted to combined graphs, in which each path from a virtual node to a free node relative to $a$ maximum matching $M_{i}$ for some bipartite graph is an alternating path, and for each edge $(u, v) \in M_{\mathrm{i}}$, we have $d^{+}(u)=d^{-}(v)=1$. Therefore, for any three nodes $v, v^{\prime}$, and $v^{\prime \prime}$ on a path in $\mathfrak{I}_{G}$, we have $d^{+}(v)=d^{-}\left(v^{\prime}\right)=1$, or $d^{+}\left(v^{\prime}\right)=d^{\prime}\left(v^{\prime \prime}\right)=1$. We call this property the alternating property, which enables us to do the task efficiently by using a dynamical arc-marking mechanism. An arc $u \rightarrow v$ with $d^{+}(u)$ $=d(v)=1$ is called a bridge .

Our algorithm works in multiple phases. In each phase, the $\operatorname{arcs}$ in $\mathfrak{I}_{G}$ will be marked or unmarked. We also call a virtual node in $\mathfrak{I}_{G}$ an origin and a free node a terminus. An origin is said to be saturated if one of its outgoing arcs is marked; and a terminus is saturated if one of its incoming arcs is marked.

In the following discussion, we denote $\mathfrak{J}_{G}$ by $A$.
At the very beginning of the first phase, all the arcs in $A$ are unmarked.
In the $k$ th phase $(k \geq 1)$, a subgraph $A^{(k)}$ of $A$ will be explored, which is defined as follows.
Let $V_{0}$ be the set of all the unsaturated origins. Define $V_{j}(j>0)$ (note that not to confuse this with the graph stratification) as follows:

$$
\begin{aligned}
E_{j-1}= & \left\{u \rightarrow v \in E(A) \mid u \in V_{j-1}, v \notin V_{0} \cup V_{1} \cup \ldots \cup V_{j-1}, u \rightarrow v \text { is unmarked }\right\} \cup \\
& \left.v \rightarrow u \in E(A) \mid u \in \mathrm{~V}_{j-1}, \mathrm{v} \notin \mathrm{~V}_{0} \cup \mathrm{~V}_{1} \cup \ldots \cup \mathrm{~V}_{j-1}, v \rightarrow u \text { is marked }\right\},
\end{aligned}
$$

$$
\begin{gathered}
V_{\mathrm{j}}=\quad\left\{v \in V(A) \mid \text { for some } u, u \rightarrow v \text { is unmarked and } u \rightarrow v \in E_{j-1}\right\} \cup \\
\left.v \in V(A) \mid \text { for some } u, v \rightarrow u \text { is marked and } v \rightarrow u \in E_{j-1}\right\} .
\end{gathered}
$$

Define $j^{*}=\min \left\{j \mid V_{j} \cap\{\right.$ unsaturated terminus $\left.\} \neq \Phi\right\}$.
$A^{(k)}$ is formed with $V\left(A^{(k)}\right)$ and $E\left(A^{(k)}\right)$ defined below.

```
If j* = 1, then
    V(A}\mp@subsup{}{(k)}{)}=\mp@subsup{V}{0}{}\cup(\mp@subsup{V}{\textrm{j}}{*}\cap{\mathrm{ unsaturated terminus}),
    E(A}\mp@subsup{A}{}{(k)})={u->v|u\in\mp@subsup{V}{\mp@subsup{j}{}{*}-1}{}\mathrm{ , and }v\in{\mathrm{ unsaturated terminus }}}
```

If $j^{*}>1$, then

$$
\begin{aligned}
& V\left(A^{(k)}\right)=V_{0} \cup V_{1} \cup \ldots \cup V_{j^{*-1}} \cup\left(V_{j^{*}} \cap\{\text { unsaturated terminus }\}\right\}, \\
& E\left(A^{(k)}\right)=E_{0} \cup E_{1} \cup \ldots \cup E_{j^{*}-2} \cup\left\{u \rightarrow v \mid u \in E_{j^{*}-1}, \text { and } v \in\{\text { unsaturated terminus }\}\right\} .
\end{aligned}
$$

The sets $V_{j}$ are called levels.
In $A^{(k)}$, a node sequence $v_{1}, \ldots, v_{j}, v_{j+1}, \ldots, v_{l}$ is called a complete sequence if the following conditions are satisfied.
(1) $v_{1}$ is an origin and $v_{l}$ is a terminus.
(2) For each two consecutive nodes $v_{j}, v_{j+1}(j=1, \ldots, l-1)$, we have an unmaked arc $v_{j} \rightarrow v_{j+1}$ in $A^{(k)}$, or a marked arc $v_{j+1} \rightarrow v_{j}$ in $A^{(k)}$.

Our algorithm will explore $A^{(k)}$ to find a set of node-disjoint complete sequences (i.e., no two of them share any nodes.) Then, we mark and unmark the arcs along each complete sequence as follows.
(i) If $\left(v_{j}, v_{j+1}\right)$ corresponds to an $\operatorname{arc}$ in $A^{(k)}$, mark that arc.
(ii) If $\left(v_{j+1}, v_{j}\right)$ corresponds to an arc in $A^{(k)}$, unmark that arc.

Obviously, if for an $A^{(k)}$ there exists $j$ such that $V_{j}=\Phi$ and $V_{i} \cap$ \{unsaturated terminus \}$=\Phi$ for $i<j$, we cannot find a complete sequence in it. In this case, we set $A^{(k)}$ to $\Phi$ and then the $k$ th phase is the last phase.

Example 4 Consider a graph $A$ shown in Fig. 15(a), in which nodes $a$ and $b$ are two origins; and nodes $g$ and $h$ are two terminus. Initially, all the arcs are not marked. Thus, $V_{0}=\{a, b\}, V_{1}=\{c, d\}, V_{2}=\{e, f\}, V_{3}=\{g$, $h\}$; and $j^{*}=3 . A^{(1)}$ is the same as $A$. (Normally, $A^{(1)}$ has fewer nodes than $A$.)

Assume that by exploring $A^{(1)}$ (using the algorithm given below), we find a complete sequence: $a, d, f, g$. Then, we will mark three $\operatorname{arcs}(a, d),(d, f)$, and $(f, g)$, as shown by the thick arrows in Fig. 15(b). With respect to these marked arcs, a second subgraph $A^{(2)}$ (in the second phase) will be constructed as shown in Fig. 15(c). In this phase, $V_{0}=\{b\}$ (since node $a$ is saturated), $V_{1}=\{d\}, V_{2}=\{a\}$ (note that $a \rightarrow d$ is marked), $V_{3}=\{c\}, V_{4}=\{e\}, V_{5}=\{g\}, V_{6}=\{f\}$ (note that $f \rightarrow g$ is marked), $V_{7}=\{h\}$; and $j^{*}=7$. By exploring $A^{(2)}$, we will find another complete sequence: $b, d, a, c, e, g, f, h$, on which all the unmarked arcs will be marked while all the marked arcs will be unmarked, as demonstrated in Fig. 15(d). Fig. 16(e) shows all the marked arcs, which make up two node-disjoint paths: $a \rightarrow c \rightarrow e \rightarrow g$ and $b \rightarrow d \rightarrow f \rightarrow h$.

(a)


(b)

(c)

(e)

Fig. 15. Illustration for graph searching
The following algorithm is devised to explore an $A^{(k)}$, in which a stack $\boldsymbol{H}$ is used to store complete sequences. In addition, for each $v$ in $A^{(k)}$, neighbor $(v)$ represents a set of nodes: $v_{1}, . ., v_{m}$ such that for each $j \in\{1, \ldots, m\}$ $v \rightarrow v_{j} \in E\left(A^{(k)}\right)$ if $v \rightarrow v_{j}$ is unmarked, or $v_{j} \rightarrow v \in E\left(A^{(k)}\right)$ if $v_{j} \rightarrow v$ is marked.

Algorithm subgraph-exploring ()

## Begin

1. let $v$ be the first element in $V_{0}$;
2. push $(v, \boldsymbol{H})$; mark $v$ 'accessed';
3. while $\boldsymbol{H}$ is not empty do $\{$
4. $v:=\operatorname{top}(\boldsymbol{H}) ;\left({ }^{*}\right.$ the top element of $\boldsymbol{H}$ is assigned to $\left.v .{ }^{*}\right)$
5. while neighbor $(v) \neq \varnothing$ do $\{$
6. let $u$ be the first element in neighbor ( $v$ );
7. if $u$ is accessed then remove $u$ from neighbor $(v)$
8. else $\{p u s h(u, \boldsymbol{H})$; mark $u$ 'accessed'; $v:=u ;\}$
9. \}
10. if $v$ is neither in $V_{j^{*}}$ nor in $V_{0}$ then $\operatorname{pop}(\boldsymbol{H})$
11. Else $\left\{\right.$ if $v$ is in $V_{j^{*}}$ then output all the elements in $\boldsymbol{H}$; (*all the elements in $\boldsymbol{H}$ make up a complete sequence.*)
12. remove all elements in $\boldsymbol{H}$;
13. let $v$ be the next element in $V_{0}$;
14. push $(v, \boldsymbol{H})$; mark $v$;
15. \}

## End

The above algorithm works top-down, searching level by level. In each iteration of the outer while-loop, a complete sequence is explored (by executing the inner while-loop, lines 5-9) and stored in the stack $\boldsymbol{H}$. All the found complete sequences are node-disjoint since any repeated access of a node is blocked by using the mark 'accessed' (see lines 2, 7, and 8.)

Based on the above algorithm, the whole process to find a maximal set of node-disjoint paths is given below.

## Algorithm node-disjoint-paths(A)

## Begin

1. $k:=1$;
2. construct $A^{(1)}$;
3. while $A^{(k)} \neq \Phi$ do \{
4. call subgraph-exploring $\left(A^{(k)}\right)$;
5. let $P_{1}, \ldots P_{l}$ be all the found complete sequences;
6. for $j=1$ to $l$ do
7. $\left\{\right.$ let $P_{j}=v_{1}, v_{2}, \ldots, v_{m}$;
8. mark $v_{i} \rightarrow v_{i+1}$ or unmark $v_{i+1} \rightarrow v_{i}(i=1, \ldots, m-1)$ according to (i) and (ii) above;
9. \}
10. $k:=k+1$; construct $A^{(k)}$;
11. \}
end
The above algorithm runs in several phases. In each phase, a $A^{(k)}$ is constructed (see line 2, and 10 ). Then, subgraph-exploring () is invoked to find all node-disjoint complete sequences. Also, the arcs along each complete sequence will be marked or unmarked (see line 8 ). When we meet a $A^{(k)}=\Phi$, the algorithm terminates; and all the marked arcs in $A$ make up a maximal set of node-disjoint paths.

## A. 2 Time complexity and correctness

In this subsection, we analyze the time complexity of node-disjoint-paths $(A)$ and prove its correctness.

## A.2.1 Time complexity

Lemma 7 Let $V_{1}^{(k)}, \ldots, V_{j_{k}}^{(k)}$ be the levels constructed when establishing $A^{(k)}$. Then, we have $j_{k}<j_{k+1}$ if $(k+$ $1)^{\text {th }}$ phase is not the last.

Proof. Let $v$ be a node in $V_{j_{k+1}}^{(k)}$. If $v \notin V_{j_{k}}^{(k)}$, then, according to the definition of $j^{*}$, we must go along a longer path from a node in $V_{1}^{(k+1)}$ to $v$ than any path from a node in $V_{1}^{(k)}$ to $v$. If $v \in V_{j_{k}}^{(k)}$, then, to reach $v$, we must go along a node sequence $v_{1}, \ldots, v_{j_{k+1}}=v$ such that there exist at least two consecutive nodes $v_{l}, v_{l+1}$ $\left(1<l<j_{k+1}\right)$ with $v_{l+1} \rightarrow v_{l}$ being marked in the $k^{\text {th }}$ phase due to the alternating property. Going from $v_{l}$ to $v_{l+1}$ means a detour around. In terms of the definition of $j^{*}, j_{k+1}>j_{k}$.

Lemma 8 Let $P$ be a maximal set of node-disjoint paths in $A$. Let $V_{1}^{(k)}, \ldots, V_{j_{1}}^{(k)}$ be the levels when establishing $A^{(1)}$. Then, $j_{1} \leq|V(A)|| | P \mid$.

Proof. Let $\alpha$ be the length of the shortest path in $P$. Then, we have

$$
\alpha \cdot|P| \leq|V(A)| .
$$

Therefore, $\alpha \leq|V(A)| /|P|$. However, $j_{1} \leq \alpha$. Thus, the lemma follows.

Foe each $A^{(k)}$, we define $B^{(k)}$ as follows.
(1)If $u \rightarrow v \in E\left(A^{(k)}\right)$ is an unmarked arc, then $u \rightarrow v \in E\left(B^{(k)}\right)$.
(2)If $u \rightarrow v \in E\left(A^{(k)}\right)$ is a marked arc, then $v \rightarrow u \in E\left(B^{(k)}\right)$.

We will prove that $B^{(k)}$ also has the alternating property.
Lemma 9 In $B^{(k)}$, for each node $v$, we have either $d^{+}(v) \leq 1$ or $d(v) \leq 1$.
Proof. We prove the lemma by induction on $k$.
Basis step. When $k=1$. The lemma trivially holds.
Induction step. Assume that for $k \leq h$, the lemma holds. We consider $B^{(h+1)}$. Let $v$ be a node in $B^{(h+1)}$. If $v$ does not appear in any complete sequences found in $A^{(h)}$. The indegree and outdegree of $v$ are the same as in $B^{(h)}$. If $v$ appears in a complete sequence found in, we do the following analysis. Let $v_{1}, \ldots, v_{i-1}, v, v_{i+1}, \ldots, v_{l}$ be a complete sequence found in $A^{(h)}$, on which $v$ appears. Consider $v_{i-1}, v, v_{i+1}$, we have $d^{+}(v)=d\left(v_{i+1}\right)=1$, or $d^{+}\left(v_{i-1}\right)=d(v)=1$ according to the induction hypothesis. In both cases, neither of $v_{i-1} \rightarrow v$ and $v \rightarrow v_{i+1}$ appear in $B^{(h+1)}$. But in the former case, $u \rightarrow v$ (for some $u$ ) and $v \rightarrow v_{i-1}$ will be added into $B^{(h+1)}$, as shown by the dashed arrows in Fig. 16(a). Note that in $B^{(h+1)} d^{+}(v)=d\left(v_{i-1}\right)=1$. In the latter case, $v_{i+1} \rightarrow v$ and $v \rightarrow$ $u^{\prime}$ (for some $u^{\prime}$ ). In this case, $d^{+}\left(v_{i+1}\right)=d\left(v_{i}\right)=1$. See Fig. 16(b) for illustration. Thus, a bridge in is replaced with a different bridge in $B^{(h+1)}$.

Proposition 6 The time complexity of the algorithm node-disjoint-paths $(A)$ is bounded by $\mathrm{O}(\sqrt{|V(A)|}$ $|E(A)|)$.

Proof. Let $P$ be a maximal set of node-disjoint paths in $A$. If $|P| \leq \sqrt{|V(A)|}$, then the number of phases is bounded by $\sqrt{|V(A)|}$, and the result follows. If $|P|>\sqrt{|V(A)|}$, we consider $k$ such that in the $k^{\text {th }}$ phase the number of node-disjoint paths is $|P|-\sqrt{|V(A)|}$. Then, by constructing $A^{(k+1)}, \ldots, A^{(m)}$ (assume that the $m^{\text {th }}$ phase is the last), and then exploring them, we will find the rest node-disjoint paths. Assume that $V_{1}^{(k+1)}, \ldots$, $V_{j_{k+1}}^{(k+1)}$ be the levels constructed when establishing $A^{(k+1)}$. In terms of Lemma 7 and $8, j_{k+1} \leq|V(A)| / \sqrt{|V(A)|}$ $=\sqrt{|V(A)|}$.


(b)

Fig. 16. Illustration for Lemma 9
In terms of Lemma $7, k$ must be less than $j_{k+1}$. Therefore, $k \leq \sqrt{|V(A)|}$. Thus, the time spent for the first $k$ phase is bounded by $\mathrm{O}(\sqrt{|V(A)|}|E(A)|)$. Also, the time for finding the rest node-disjoint paths is bounded by $\mathrm{O}(\sqrt{|V(A)|}|E(A)|)$. So the total cost is $\mathrm{O}(\sqrt{|V(A)|}|E(A)|) \square$.

## A.2.2 Correctness

Lemma 10 Let $A^{(0)}=A, A^{(1)}, \ldots, A^{(k)}=\Phi$ be the graphs generated in the different phases during the execution of Algorithm node-disjoint-paths(A). Then, for each marked arc $u \rightarrow v$ in $A^{(i)}(i=1, \ldots, k-1)$, the following conditions are satisfied.
i) If $u$ is not an origin, then there exists a node $u^{\prime}$ such that $u^{\prime} \rightarrow u$ is marked in $A^{(i)}$.
ii) If $v$ is not a terminus, then there exists a node $v^{\prime}$ such that $v^{\prime} \rightarrow v$ is marked in $A^{(i)}$.

Proof. We prove condition (1) by induction on phases $i$.
Basic step. When $i=1$. The proof is trivial.
Induction step. Assume that the lemma holds for $i \leq j$. Consider phase $j+1$. Let $v_{1}, \ldots, v_{l}$ be a complete sequence found in $A^{(j+1)}$. Without loss of generosity, assume that $\left(v_{g}, v_{g+1}\right)(1 \leq g<l)$ corresponds to a marked arc. If ( $v_{g-1}, v_{g}$ ) corresponds to a marked arc, condition (i) is proved. Otherwise, there exists a subsequence $v_{h}, v_{h+1}, \ldots, v_{g}$ such that each pair $\left(v_{r+1}, v_{r}\right)$ corresponds to a marked arc in $A^{(j)}$. According to the induction hypothesis, if $v_{g}$ is not an origin, there must exist a node $v$ such that $\left(v, v_{g}\right)$ corresponds to a marked $\operatorname{arc}$ in $A^{(j)}$. According to the algorithm, $\left(v_{r+1}, v_{r}\right)$ will be unmarked, but $\left(v, v_{g}\right)$ remains marked.

In the same way, we can prove condition (ii).
From the proof of Lemma 10, we can also see that any two paths made up of marked arcs (from an origin to a terminus) are node-disjoint.

Proposition 7 The number of the node-disjoint paths in $A$ found by node-disjoint-paths $(A)$ is maximum.
Proof. Let $A^{(0)}=A, A^{(1)}, \ldots, A^{(k)}=\Phi$ be the graphs generated in the different phases during the execution of the algorithm. Let $V_{0}, V_{1}, \ldots, V_{j}$ be the levels when creating $A_{k)}^{( }$. Then, $V_{j}=\Phi$ and $V_{i} \cap\{$ unsaturated terminus $\}$ $=\Phi$ for $i<j$. Consider the cut $(R, \bar{R})$, where $R=V_{0} \cup V_{1} \cup \ldots \cup V_{j-1}$ and $\bar{R}=V(A) \backslash\left(V_{0} \cup V_{1} \cup \ldots \cup V_{j-1}\right)$. Each arc $u \rightarrow v$ with $u \in R$ and $v \in \bar{R}$ must be marked. Otherwise, $V_{j} \neq \Phi$. In addition, each two of such arcs are not on a same path. According to Lemma 10, each of such arcs corresponds to a node-disjoint path and the number of such arcs is maximum. This completes the proof $\square$.
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